

# Entropic tensor scaling computes the quantum functionals

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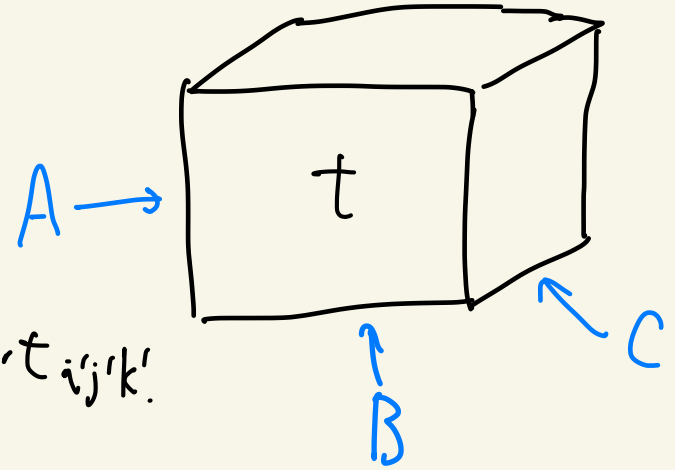
based on joint works with

M. Levent Doğan and Michael Walter

# (3-)Tensors (generalizes to $d(\geq 4)$ -tensors)

$$t = (t_{ijk}) \in \mathbb{C}^{n_1} \otimes \mathbb{C}^{n_2} \otimes \mathbb{C}^{n_3}$$

matrices



$$\left( (A \otimes B \otimes C) t \right)_{ijk} := \sum_{i'j'k'} A_{ii'} B_{jj'} C_{kk'} t_{i'j'k'}$$

- $S \leq t$  : "t restricts to S."

$$\Leftrightarrow \exists A, B, C \quad S = (A \otimes B \otimes C) t$$

- Asymptotic restriction :  $S \leq t \Leftrightarrow S^{\boxtimes m} \leq t^{\boxtimes (m+o(m))}$

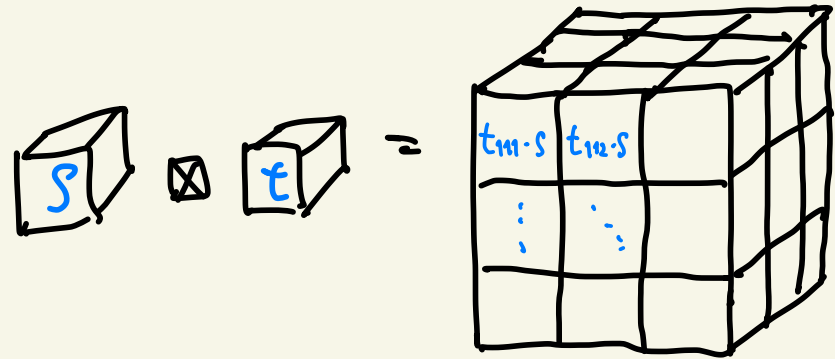
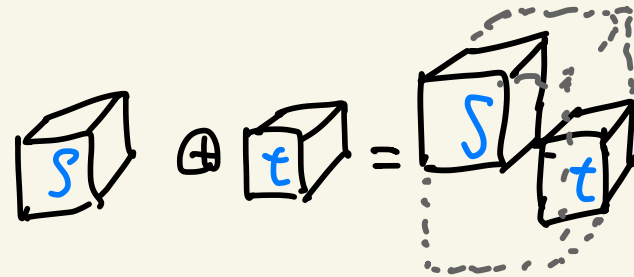
- Captures asymptotic (sub)rank of tensors
- Quantum resource theory

e.g. GHZ & W. Why? We will see later.

# Asymptotic spectrum of tensors [Strassen '80s]

$\mathcal{X}$ : set of  $\phi: \{\text{all 3-tensors}\} \rightarrow \mathbb{R}$  satisfying

$$\left\{ \begin{array}{l} \bullet \phi \left( \underset{\substack{\uparrow \\ 1 \\ \downarrow}}{\boxed{1}} \right) = 1 \\ \bullet \phi(s \oplus t) = \phi(s) + \phi(t) \\ \bullet \phi(s \boxtimes t) = \phi(s) \phi(t) \\ \bullet s \leq t \Rightarrow \phi(s) \leq \phi(t) \end{array} \right.$$

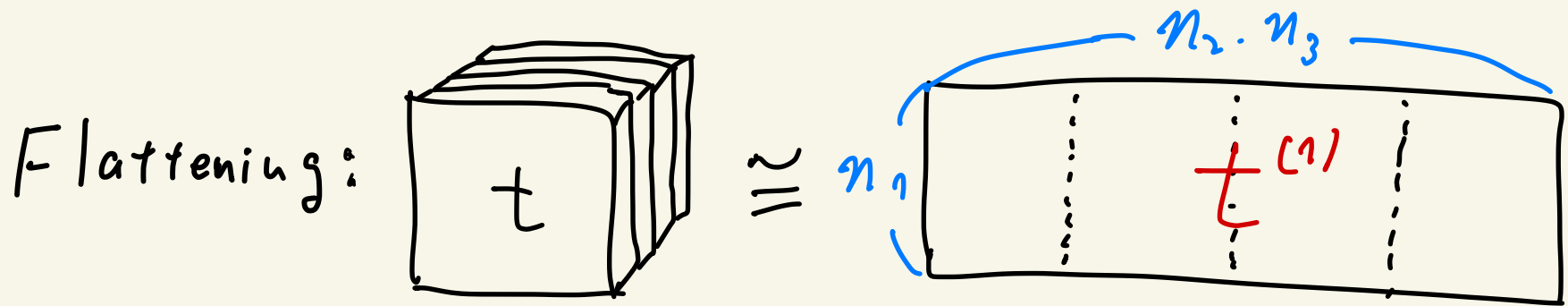


$\phi \in \mathcal{X}$ : "spectral point"

Thm. [Strassen '80] .  $s \lesssim t \Leftrightarrow \forall \phi \in \mathcal{X}, \phi(s) \leq \phi(t)$

Only known spectral points: Quantum functionals (next pages)

# One-body marginals



$$\mu_1(t) := t^{(1)\dagger} t^{(1)} / \|t\|^2 \in \text{PSD}(n_1), \quad \text{tr} \mu_1(t) = 1.$$

Similarly,  $\mu_2(t) \in \text{PSD}(n_2)$ ,  $\mu_3(t) \in \text{PSD}(n_3)$

In quantum information:

$t$ : pure state on 3 particles

$\mu_1(t), \mu_2(t), \mu_3(t)$ : single-particle marginals

# Entanglement polytope [Walter et al. '14]

$$\Delta(t) := \{(\lambda(\mu_1(s)), \lambda(\mu_2(s)), \lambda(\mu_3(s))) \mid s \in GL \cdot t\}$$

$$(GL \cdot t := (A \otimes B \otimes C)t, \quad A, B, C \in GL)$$

Thm. [Kirwan'84, Ness-Mumford'84, ...]

$\Delta(t)$  is a polytope

## Connections

- $\Delta(\cdot)$  is  $GL$ -orbit invariant
- Quantum marginal problem: determine  $x \in \Delta(t)$ ? ↖  $t$ : generic
- Generalizes to other representations  $\rightarrow$  Moment polytope

# Quantum functional [Christandl, Vrana, Ziddan '18]

Fix  $\theta = (\theta_1, \theta_2, \theta_3)$  ( $\theta_i \geq 0, \sum_i \theta_i = 1$ )

- $E_\theta(t) := \max_{(x_1, x_2, x_3) \in \Delta(t)} \sum_{i=1}^3 \theta_i H(x_i)$  ← Shannon entropy  
 $= \sup_{S \in GL(t)} h_\theta(S)$  ( $h_\theta(S) := \sum_i \theta_i H(\mu_i(S))$ ) ↑ von Neumann entropy
- $F_\theta(t) := 2^{E_\theta(t)}$  ← quantum functional

Thm [Christandl, Vrana, Ziddan '18]

$\forall \theta, F_\theta$  is a spectral point (i.e.,  $F_\theta \in \mathcal{X}$ )

- If  $\theta > 0$ , then  $F_\theta(t) = n_1^{\theta_1} n_2^{\theta_2} n_3^{\theta_3} \Leftrightarrow (1/n_1, 1/n_2, 1/n_3) \in \Delta(t)$ .

# Quantum functional computation

$$\text{Want to compute: } E_{\theta}(t) = \max_{(x_1, x_2, x_3) \in \Delta(t)} \sum_i \theta_i H(x_i) = \sup_{S \in GL-t} \chi_{\theta}(S)$$

No efficient algorithm has been known!

Concave maximization over a polytope ... easy???

Difficulties:

- $\Delta(t)$  is very complex.
- Computation of  $\Delta(t)$  (van den Berg et al., '15)  
is only practical up to  $4 \times 4 \times 4$  tensors.

# Entropic tensor scaling algorithm

$$\text{Want to compute: } E_\theta(t) = \max_{(x_1, x_2, x_3) \in \Delta(t)} \sum_i \theta_i H(x_i) = \sup_{S \in GL-t} \mathcal{H}_\theta(S)$$

Our algorithm: Entropic Tensor Scaling

$$t_{k+1} := \left( \mu_1(t_k)^{-\frac{\theta_1}{2}} \otimes \mu_2(t_k)^{-\frac{\theta_2}{2}} \otimes \mu_3(t_k)^{-\frac{\theta_3}{2}} \right) t_k$$

Thm. [Doğan-Ş-Walter]  $E_\theta(t_0) - \mathcal{H}_\theta(t_k) = O(k^{-1})$ .

Constant in  $O(\cdot)$  is poly(input size).

- First efficient algorithm for quantum functional computation!
- When  $\theta > 0$ , then  $\mu_i(t_k) \rightarrow 1/n_i$  if  $(1/n_1, 1/n_2, 1/n_3) \in \Delta(t)$ 
  - New algorithm for tensor scaling problem

# Quantum functional: examples

$$\theta = (1/3, 1/3, 1/3)$$

$$t_{k+1} := \left( \mu_1(t_k)^{-\frac{1}{6}} \otimes \mu_2(t_k)^{-\frac{1}{6}} \otimes \mu_3(t_k)^{-\frac{1}{6}} \right) t_k \text{ computes } E_\theta(t_0).$$

- $t_0 := W = e_1 \otimes e_1 \otimes e_2 + e_1 \otimes e_2 \otimes e_1 + e_2 \otimes e_1 \otimes e_1$

$$\Rightarrow \mu_1 = \mu_2 = \mu_3 = \begin{pmatrix} 2/3 & 0 \\ 0 & 1/3 \end{pmatrix}$$

$$\Rightarrow t_1 = (2/3)^{-1/6} \cdot (2/3)^{-1/6} \cdot (1/3)^{-1/6} W \propto W,$$

$W$  is a fixed point

$$\Rightarrow E_\theta(W) = H(2/3, 1/3).$$

- $GHZ = e_1 \otimes e_1 \otimes e_1 + e_2 \otimes e_2 \otimes e_2 \Rightarrow \mu_1 = \mu_2 = \mu_3 = I/2.$

$$\Rightarrow E_\theta(GHZ) = H(1/2, 1/2) \text{ (entropy is maximized at uniform)}$$

$$E_\theta(GHZ) > E_\theta(W) \Rightarrow GHZ \not\leq W !$$

# More general convex optimization over $\Delta(t)$

$$\text{minimize } S(x_1, x_2, x_3), \quad (x_1, x_2, x_3) \in \Delta(t)$$

$S: \mathbb{R}^{n_1} \times \mathbb{R}^{n_2} \times \mathbb{R}^{n_3} \rightarrow \overline{\mathbb{R}} \cup \{\infty\}$  is

$\left\{ \begin{array}{l} \bullet \text{ lower-semicontinuous, convex} \end{array} \right.$

$\left\{ \begin{array}{l} \bullet S(P_1 x_1, P_2 x_2, P_3 x_3) = S(x_1, x_2, x_3) \quad (P_i: \text{permutation}) \end{array} \right.$

$\Rightarrow \Phi: \text{Herm}(n_1) \times \text{Herm}(n_2) \times \text{Herm}(n_3) \rightarrow \mathbb{R} \cup \{\infty\}$

$\Phi(Y_1, Y_2, Y_3) := S(\lambda(Y_1), \lambda(Y_2), \lambda(Y_3))$  is naturally defined.

Quantum functional case:

$$S(x_1, x_2, x_3) = - \sum_i \theta_i H(x_i)$$

## General descent algorithm

$$\text{minimize } J(x_1, x_2, x_3), \quad (x_1, x_2, x_3) \in \Delta(t)$$

## Descent algorithm for general $S$

$$t_{k+1} := \left( \bigotimes_{i=1}^3 e^{-\eta \nabla_i \Phi(\mu_1(t_k), \mu_2(t_k), \mu_3(t_k))} \right) t_k$$

$$(\Phi(Y_1, Y_2, Y_3) := S(\lambda(Y_1), \lambda(Y_2), \lambda(Y_3)), \quad \eta > 0 : \text{step size})$$

Quantum functional case:

$$S(x_1, x_2, x_3) = - \sum_i \theta_i \underset{\substack{\uparrow \\ \text{Shannon entropy}}}{H(x_i)}, \quad \Phi(Y_1, Y_2, Y_3) = - \sum_i \theta_i \underset{\substack{\uparrow \\ \text{von Neumann entropy}}}{H(Y_i)},$$

$$e^{-\eta \nabla_i \Phi(\mu)} = e^{-\eta \theta_i (I + \log \mu_i)} = \text{const. } \mu_i^{-\eta \theta_i}$$

$\leadsto$  Entropic tensor scaling formula.

# General descent algorithm

$$\text{minimize } S(x_1, x_2, x_3), \quad (x_1, x_2, x_3) \in \Delta(t)$$

Descent algorithm for general  $S$  —

$$t_{k+1} := \left( \bigotimes_{i=1}^3 e^{-\eta \nabla_i \Phi(\mu_1(t_k), \mu_2(t_k), \mu_3(t_k))} \right) t_k$$

$$(\Phi(Y_1, Y_2, Y_3) := S(\lambda(Y_1), \lambda(Y_2), \lambda(Y_3)), \quad \eta > 0 : \text{step size})$$

Thm [Doğan -  $S$ -Walter]

If  $S$  is  $L$ -smooth (i.e.  $\nabla^2 S \preceq L I$ ),

then by taking  $\eta = 1/12L$  (generally,  $1/4dL$  for  $d$ -tensors),

$$\Phi(\mu_1(t_k), \mu_2(t_k), \mu_3(t_k)) - (O_p \epsilon) \leq O(k^{-1} \cdot \text{poly}(\text{input}))$$

# Another application: $G$ -stable rank

For  $\alpha \in \mathbb{R}_{>0}^3$ ,  $\text{rk}_\alpha^G(t)$ :  $G$ -stable rank [Derksen'22]

$$\frac{1}{\text{rk}_\alpha^G(t)} = \min_{(x_1, x_2, x_3) \in \Delta(t)} \left\| \left( \frac{x_1}{\alpha_1}, \frac{x_2}{\alpha_2}, \frac{x_3}{\alpha_3} \right) \right\|_\infty. \quad [\text{Hirai'25}]$$

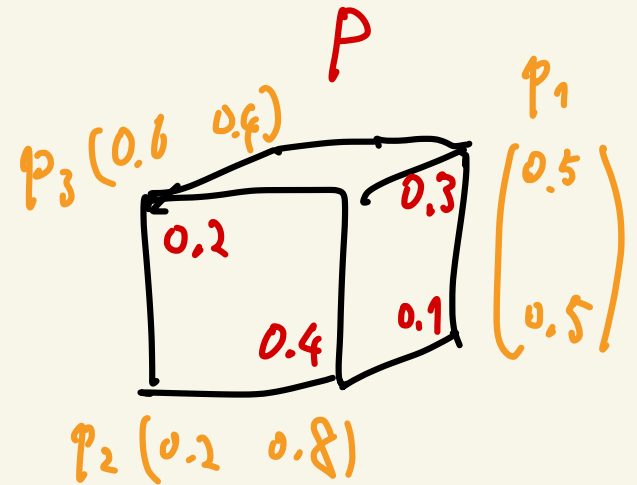
This corresponds to  $S(x_1, x_2, x_3) = \left\| \left( \frac{x_1}{\alpha_1}, \frac{x_2}{\alpha_2}, \frac{x_3}{\alpha_3} \right) \right\|_\infty$ .

☹  $S$  is not smooth.

→ use smooth approx  $\|p\|_\infty \approx \varepsilon \log \sum_i e^{p_i/\varepsilon}$ .

→  $\frac{1}{\text{rk}_\alpha^G(t)}$  can be computed up to any predetermined error.

# Minimax Formula



Support polytope

$$\Omega(t) :=$$

$$\{(p_1, p_2, p_3) : \text{marginal of prob. dist. } P \mid p_{ijk} > 0 \Rightarrow t_{ijk} \neq 0\}$$

For the present minimization problem ( $S$ : l.s.c. convex, symmetric),

Thm [ $S$  - Doğan - Walter]

$$\min_{(x_1, x_2, x_3) \in \Delta(t)} S(x_1, x_2, x_3) = \max_{S \in GL-t} \min_{(p_1, p_2, p_3) \in \Omega(S)} S(p_1, p_2, p_3)$$

$\Rightarrow$  Characterized by zero/non-zero patterns obtainable by GL action!

# Support functional

$$\min_{(x_1, x_2, x_3) \in \Delta(\mathbf{t})} S(x_1, x_2, x_3) = \max_{S \in GL-\mathbf{t}} \min_{(p_1, p_2, p_3) \in \Omega(S)} S(p_1, p_2, p_3)$$

In quantum functional case:  $S(x_1, x_2, x_3) = -\sum_i \theta_i H(x_i)$

Previously known:

- (LHS) =  $-\log F_\theta(\mathbf{t})$   
↖ Quantum functional
- (RHS) =  $-\log \zeta^\theta(\mathbf{t})$   
↖ Support functional [Strassen '80s]

We now have:  $F_\theta(\mathbf{t}) = \zeta^\theta(\mathbf{t})$  ( $\forall \mathbf{t}$ : complex tensor)

History: Strassen conjectured that  $\zeta^\theta$  is a spectral point, but he could not prove it. Only  $F_\theta \leq \zeta^\theta$  has been known. We solved his  $\geq 35$ -year-old conjecture by  $F_\theta = \zeta^\theta$ !

# Summary

Target problem:  $\min_{(x_1, x_2, x_3) \in \Delta(t)} J(x_1, x_2, x_3)$

$J$ : l.s.c., convex, symmetric in each argument.

Captures • Quantum functional ( $J \sim$  -entropy)

•  $G$ -stable rank ( $J \sim \|\cdot\|_\infty$ )

• Computable by a simple descent algorithm

• Characterized by non-zero patterns on  $GL$ -orbit

Math behind: geodesically convex optimization,

generalized gradient flow [Hirai'25] (not explained today)